

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 I.53(b))</i>	Attorney Docket No.	03551.0154
	First Inventor	Ferrone et al.
	Title	HLA Class II Peptide Mimics
	Express Mail Label No.	ER 806683724 US

<p align="center"><b>APPLICATION ELEMENTS</b></p> <p><i>See MPEP chapter 600 concerning utility patent application contents</i></p>	<p align="center">Mail Stop Patent Application  <b>ADDRESS TO:</b> Commissioner for Patents, P.O. Box 1450          Alexandria, Virginia 22313-1450</p>
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1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See CFR 1.27.
3. ☒ Specification [Total Pages 18/]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 4/]
5. ☐ Oath or Declaration [Total Pages 1/]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☒ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ Paper
  - c. ☒ Statements verifying identity of above copies


18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of the prior application No:         /        

Prior application information:      Examiner:                                       Group/Art Unit:                                 

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS						
■ Customer Number:		26712		OR <input type="checkbox"/> Correspondence address below		
NAME		Ranjana Kadle				
		Hodgson Russ LLP				
ADDRESS		One M&T Plaza, Suite 2000				
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391	
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349	
Name (Print/Type)		Ranjana Kadle		Registration No. (Attorney/Agent)		40,041
Signature					Date	March 19, 2004

**"Express Mail" Mailing Label Number ER 806683724 US**

Date of Deposit March 19, 2004

# FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent Fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$) 385.00

Application Number

Filing Date

March 19, 2004

First Named Inventor

Ferrone et al.

Examiner Name

Group/Art Unit

Attorney Docket Number

03551.0154

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account: Deposit Account Number: 08-2442

Deposit Account Name: Hodgson Russ LLP

The Director is hereby authorized to (check all that apply)

☐ Charge fee(s) indicated below

☒ Charge any fee deficiencies or credit any overpayments

☐ Charge any additional fees during pendency of this application.

☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$). Code (\$)

Fee Description

Fee Paid

1001 770 2001 385 Utility filing fee \$ 385

1002 340 2002 170 Design filing fee \$

1003 530 2003 265 Plant filing fee \$

1004 770 2004 385 Reissue filing fee \$

1005 160 2005 80 Provisional filing fee \$

### SUBTOTAL (1)

\$ 385

### 2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE

Extra Fee from

Claims below

Total Claims /17/- 20\*\* = /0/ x /9/ =

\$ 0

Independent Claims /3/- 3\*\* = /0/ x /43/ =

\$ 0

Multiple dependent / / x / / =

\$

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$). Code (\$)

Fee Description

1202 18 2202 9 Claims in excess of 20

1201 86 2201 43 Independent claims in excess of 3

1203 290 2203 145 Multiple dependent claim if not paid

1204 86 2204 43 \*\*Reissue independent claims over original patent

1205 18 2205 9 \*\*Reissue claims in excess of 20 and over original patent

### SUBTOTAL (2)

\$ 0

### SUBMITTED BY:

Ranjana Kadle

Reg. No. 40,041

### SIGNATURE

DATE: March 19, 2004

Telephone: (716) 848-1628

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code

Fee (\$)

Fee Code

Fee (\$)

Fee Description

Fee Paid

1051

130

2051

65

Surcharge - late filing fee or oath

\$

1052

50

2052

25

Surcharge - late provisional filing fee or cover sheet

\$

1053

130

1053

130

Non-English specification

\$

1812

2,520

1812

2,520

For filing a request for *ex parte* reexamination

\$

1804

920\*

1804

920\*

Requesting Publication of SIR prior to Examiner Action

\$

1805

1,840\*

1805

1,840\*

Requesting Publication of SIR after Examiner Action

\$

1251

110

2251

55

Extension for reply within first month

\$

1252

420

2252

210

Extension for reply within second month

\$

1253

950

2253

475

Extension for reply within third month

\$

1254

1,480

2254

740

Extension for reply within fourth month

\$

1255

2,010

2255

1,005

Extension for reply within fifth month

\$

1401

330

2401

165

Notice of Appeal

\$

1402

330

2402

165

Filing a brief in support of an appeal

\$

1403

290

2403

145

Request for oral hearing

\$

1451

1,510

1451

1,510

Petition to institute a public use proceeding

\$

1452

110

2452

55

Petition to revive - unavoidable

\$

1453

1,330

2453

665

Petition to revive - unintentional

\$

1501

1,330

2501

665

10 advance copies

\$

1502

480

2502

240

Utility issue fee (or reissue)

\$

1503

640

2503

320

Design issue fee

\$

1460

130

1460

130

Plant issue fee

\$

1807

50

1807

50

Petitions to the Commissioner

\$

1806

180

1806

180

Processing fee under 37 CFR 1.17(q)

\$

8021

40

8021

40

Submission of Information Disclosure Statement

\$

1809

770

2809

385

Recording each patent assignment per property (times number of properties)

\$

1810

770

2810

385

Filing a submission after final rejection(37 CFR 1.129(a))

\$

1801

770

2801

385

For each add'l invention to be examined(37 CFR 1.129(b))

\$

1802

900

1802

900

Request For Continued Examination (RCE)

\$

Request for Expedited Examination of a design application

\$

Other fee (specify)

\$

\*Reduced by basic filing fee paid

SUBTOTAL (3)

\$ 0

Express Mail Mailing Label Number ER 806683724 US

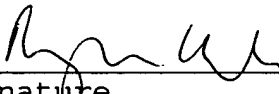
Date of Deposit March 19, 2004

<b>NONPUBLICATION REQUEST</b>  <b>UNDER</b>  <b>35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		Ferrone et al.
	Title	HLA Class II Peptide Mimics	
	Atty Docket Number		03551.0154

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 19, 2004  
Date

  
Signature

(716) 848-1628  
Telephone Number

Ranjana Kadle, Reg. No. 40,041  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.